

# Pursuing Sustainability: A framework for Linking Science and Practice

Presented at

Potsdam Institute for Climate Impact Research

June 17, 2016

by

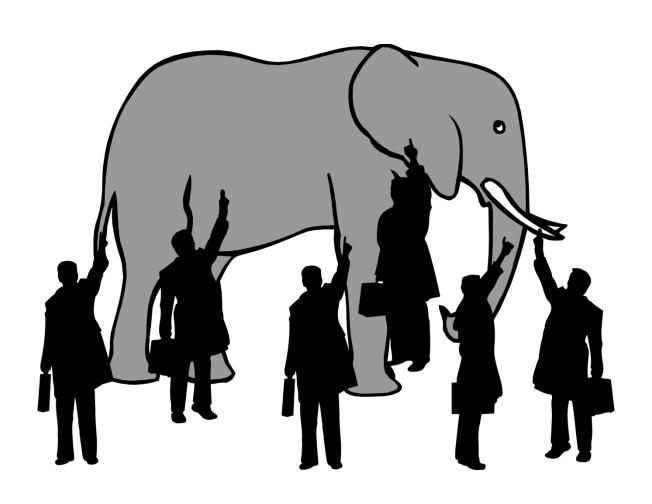
Bill Clark

Harvey Brooks Professor of International Science, Public Policy and Human Development

Harvard University

(william clark@harvard.edu)

### Why a framework?



## Progress in tackling Schellnhuber's Grand Challenges of Sustainability Science

#### 1. Normative challenges

\* Inclusive human well-being that does not decline should be the overall goal of sustainable development

#### 2. Analytic challenges

\* Stocks of capital assets are the ultimate determinants, state variables, and metrics of sustainable development

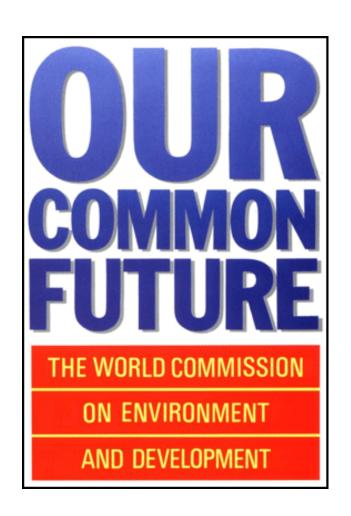
#### 3. Operational challenges

\* Sustainability transitions needed in how assets are harnessed in essential production-consumption systems

#### 4. Strategic challenges

\* Informed agitation needed for sustainability transitions

### 1) Normative Challenges: Goals for Sustainable Development?



"Humanity has the ability to make development sustainable:

To ensure that it meets the needs of the present without compromising the ability of future generations to meet their own needs."

(WCED, 1987)



#### Proliferation of normative goals...

- Examples...
  - Amartya Sen's "Human freedoms / capabilities"
  - OECD's individual "Better life" constituents
  - UN's new 17 "International SDGs..."
- Common ground?
  - Developing people, not (just) protecting environment
  - Concern for equity, justice, future generations
  - Need for fit to local contexts



#### An emerging way forward...

- What is to be developed? Human well-being
  - Human centered, but advancing "well-being" as less austere vision than merely meeting "needs"
  - Conservation of nature is a possible means for, but not an end of, sustainable development
- What of equity? *Inclusive* human well-being
  - Fair division of opportunities for advancing well-being across space and time
- Bottom line: Goal of sustainable development is
  - inclusive human well-being doesn't decline with time

1) Normative Challenges: non-declining inclusive human well-being as goal of sustainability

Constituents of Well-being

Goal: Well-being

Social-Environmental System

Material needs
Health and education
Flourishing biota
Capabilities...

... & their distribution



#### 2) Analytic Challenges

 What are the ultimate determinants of inclusive human well-being (W), and thus of sustainable development? 2) Analytic challenges: Determinants of sustainability...

Goal of Well-being Social-Environmental System **Capital Assets** 

Well-being

 ultimately derived
 from stocks of
 capital assets

Assets are the state variables of the SES  $\rightarrow$  determinants of sustainability

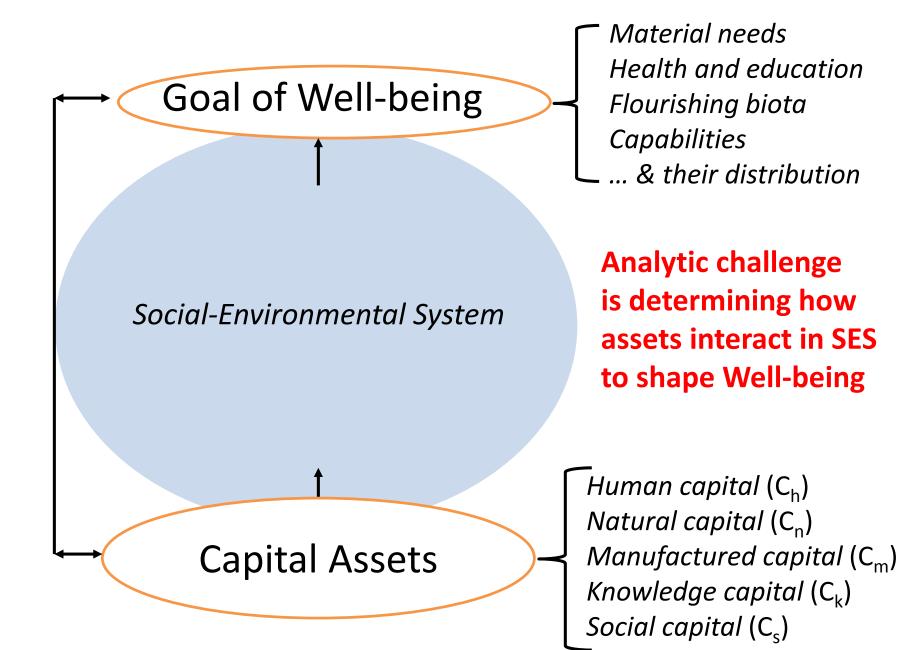
But which assets?

#### Determinants of Inclusive Well-being

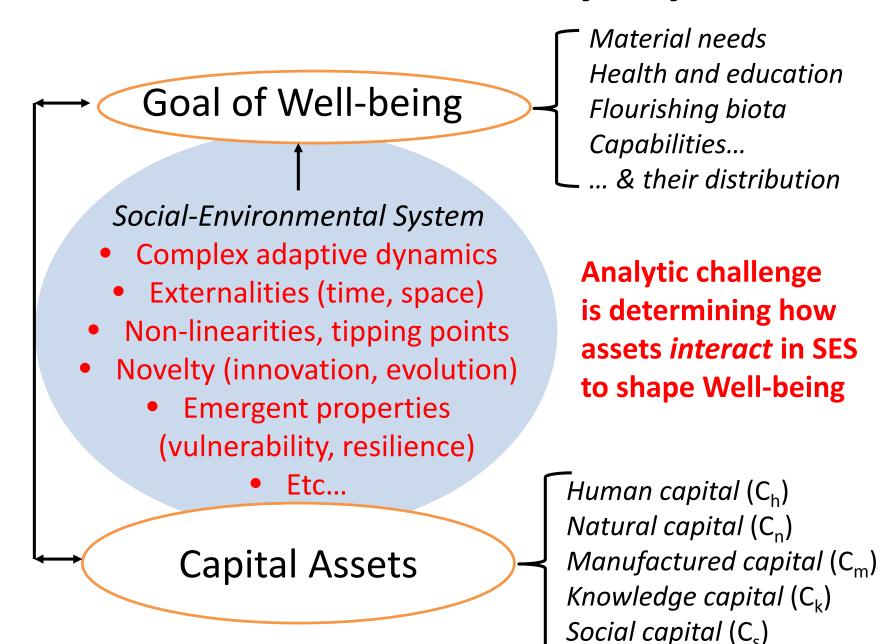
(And tribes of scientists that study them)

Asset cluster	Includes "state variables" related to	Studied by scholars of
Natural capital	Environmental system, its ecology, climate, soils, biodiversity, minerals, etc.	Earth systems science, conservation biology, ecosystem services, ecological economics
Manufactured capital	Industrial system: factories, roads, cities, infrastructure for energy, telecom, etc.	Industrial ecology, green design, pollution control; sustainability engineering
Human capital	Individuals: number/distribution, health, education, networks	Demography, consumption behavior, environmental health
Social capital	Arrangements (economic, political, cultural) governing interactions (rules, norms, trust)	Political economy, institutions, policy science, managing the commons, governance, sociology
Knowledge capital	Scientific findings, technology, practical skills and expertise	Research policy, innovation, STS, boundary work, social learning

#### 2) Determinants of sustainability: Statics



#### 2) Determinants of sustainability: Dynamics



#### 3) Operational challenges

Material needs Health and education Goal of Well-being Flourishing biota Capabilities... ... & their distribution Social-Environmental System Complex adaptive dynamics Externalities (time, space) **Managing assets** Non-linearities, tipping points to achieve goals Novelty (innovation, evolution) **Emergent properties** (vulnerability, resilience) Etc... Human capital (C<sub>h</sub>) Natural capital (C<sub>n</sub>) *Manufactured capital* (C<sub>m</sub>) Capital Assets Knowledge capital  $(C_k)$ 

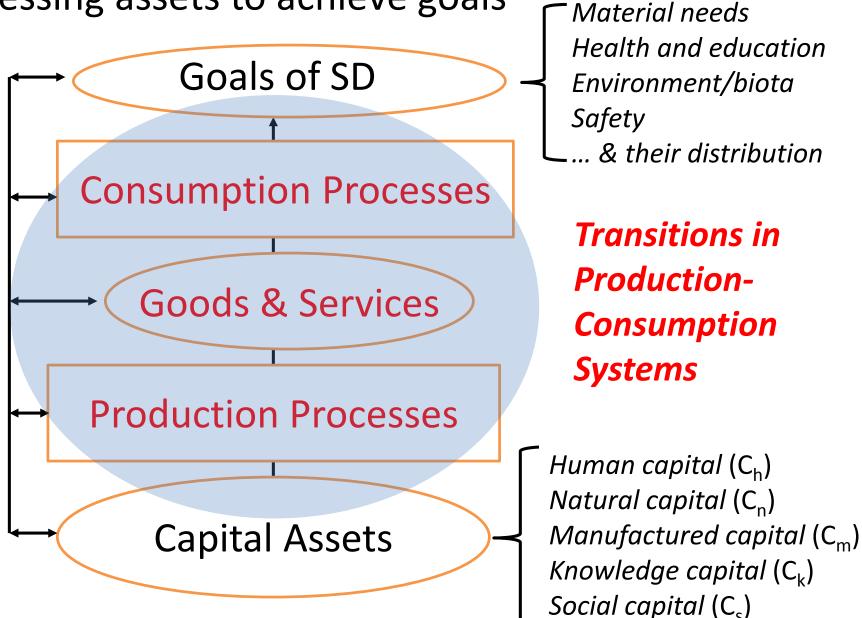
Social capital (C<sub>s</sub>)

### 3) Operational challenges

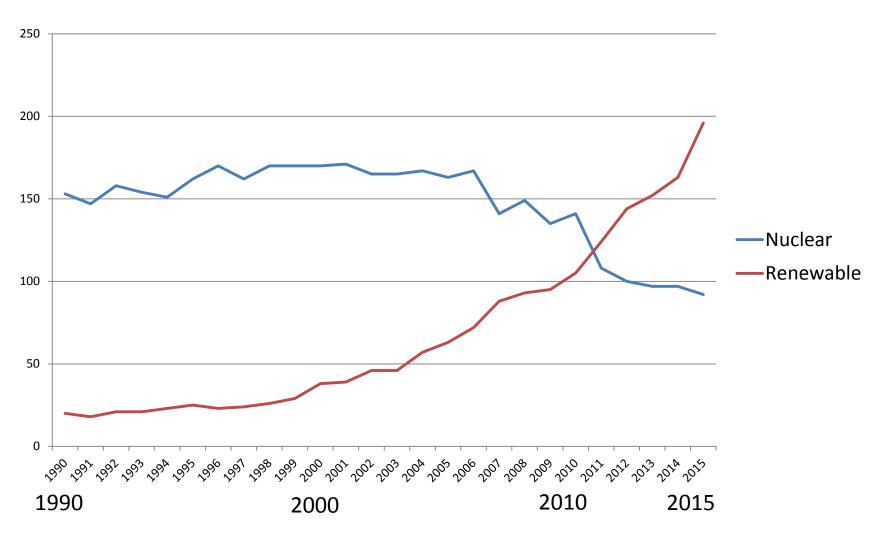
- Harnessing assets to achieve goals takes place through particular PCS (production-consumption systems) embedded in overall SES (socialenvironmental system) [e.g. energy PCS]
- Operational work must look beyond improvements in particular technologies, policies, consumption habits to...
- Promote sustainability transitions in full production-consumption systems
  - German Energiewende, Brazil Forest Transition

#### 3) Operational Challenges: System transitions in

harnessing assets to achieve goals

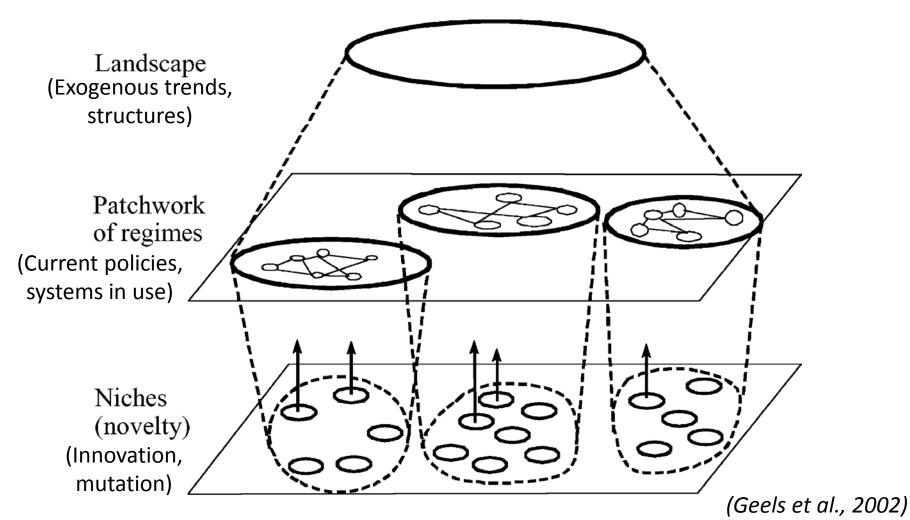


## Germany's Energiewende (Bruttostromerzeugung, Mrd. kWh)

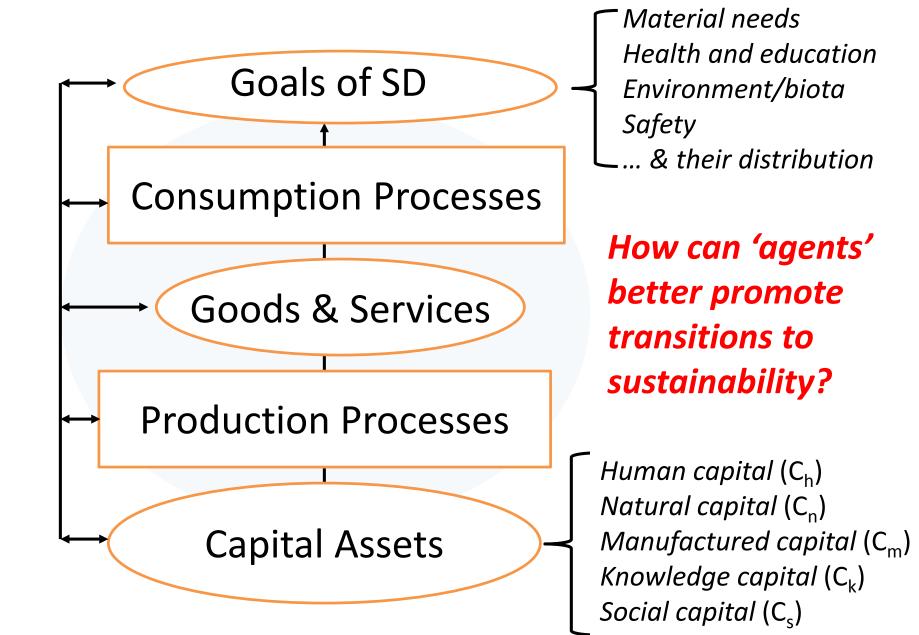




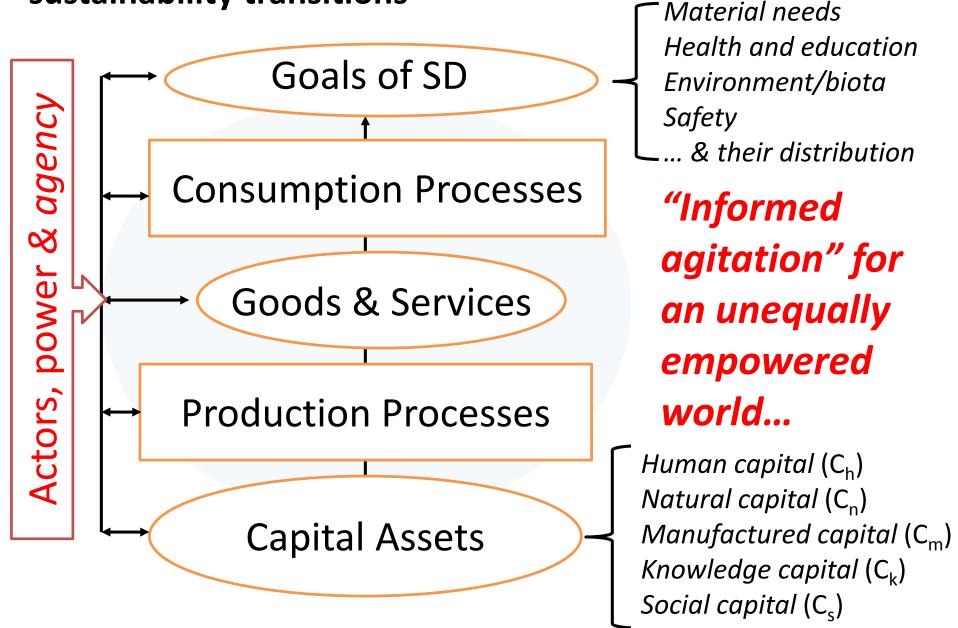
# 3) **Operational challenges**: Toward a theory of Transition Management in Production-Consumption Systems



#### 4) Strategic challenges

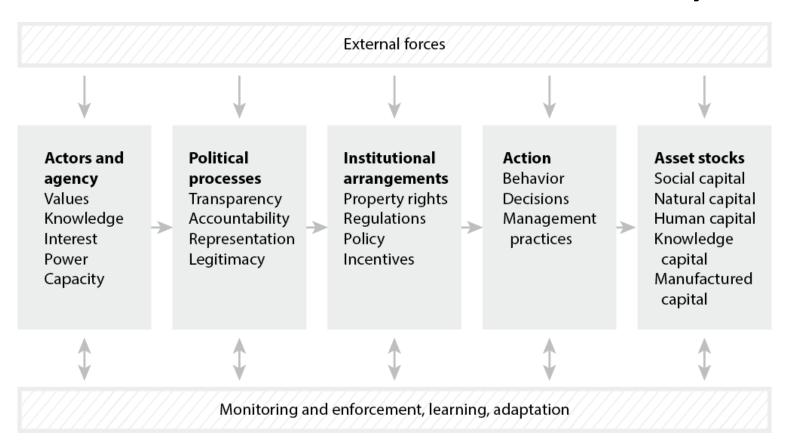


### 4) Strategic challenges: Action to promote sustainability transitions

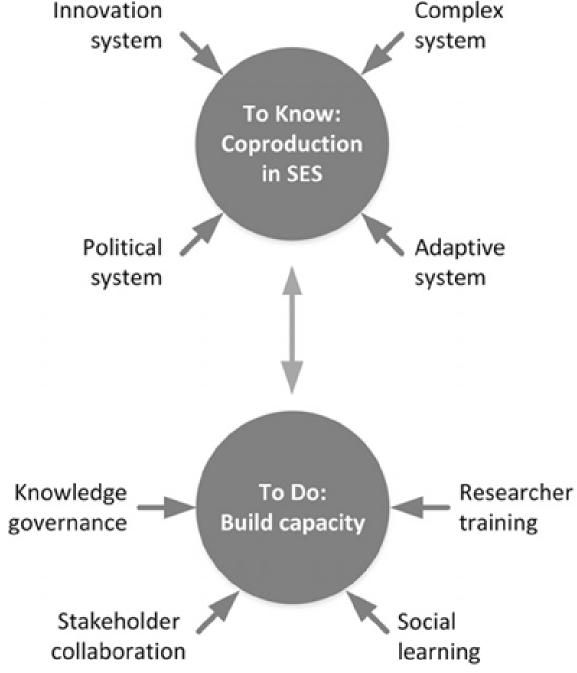




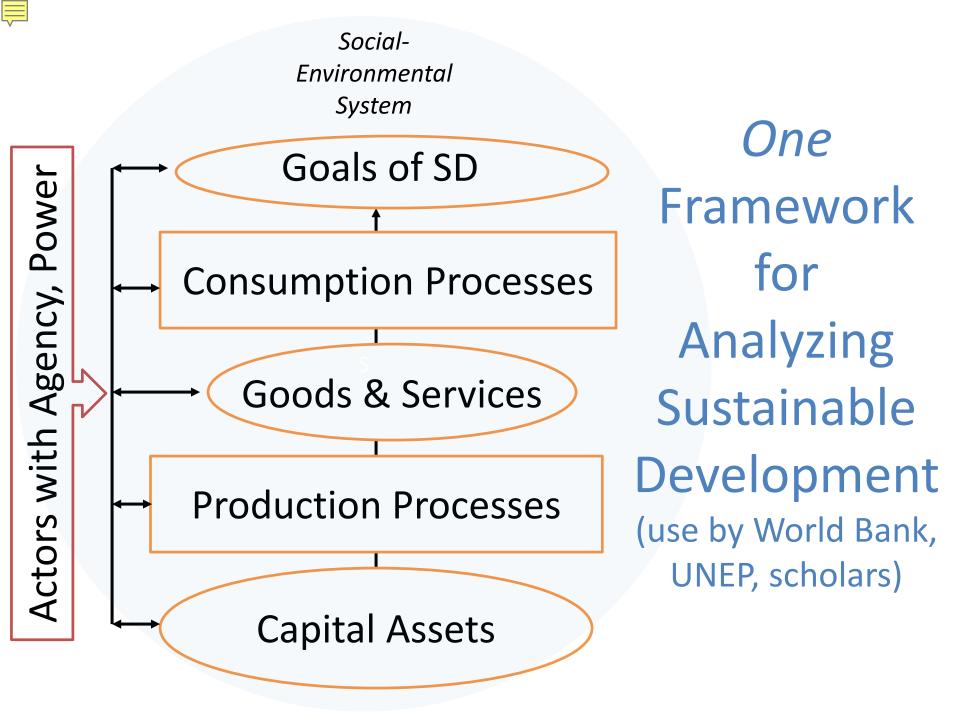
## 4) Strategic challenges: Informed **Agitation**Governance for Sustainability



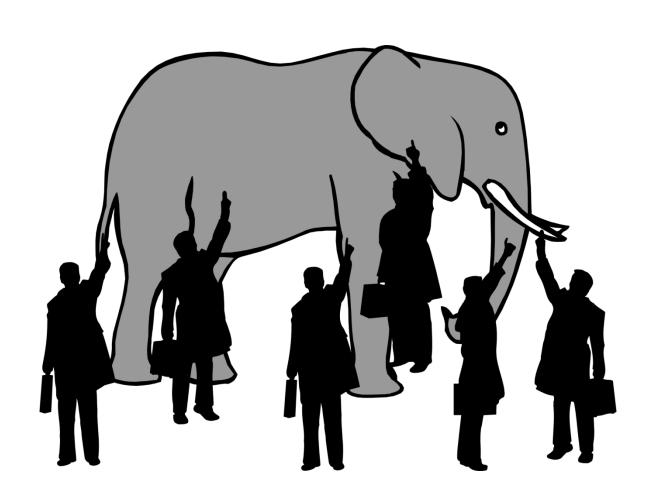




Informing agitation: What scientists need to know and to do



Useful in organizing interdisciplinary perspectives to understand the elephant of sustainable development?



## And in harnessing that understanding to help guide the herd... the ultimate Grand Challenge of Sustainability Science



## Progress in tackling Schellnhuber's Grand Challenges of Sustainability Science

#### 1. Normative challenges

\* Inclusive human well-being that does not decline should be the overall goal of sustainable development

#### 2. Analytic challenges

\* Stocks of capital assets are the ultimate determinants, state variables, and metrics of sustainable development

#### 3. Operational challenges

\* Sustainability transitions needed in how assets are harnessed in essential production-consumption systems

#### 4. Strategic challenges

\* Informed agitation needed for sustainability transitions

#### Pursuing Sustainability: Further Information

- Sustainability Science Program @ Harvard (This presentation and related materials)
  - www.hks.harvard.edu/centers/mrcbg/programs/sustsci
- PNAS Sustainability Science (current research)
  - <u>http://sustainability.pnas.org/</u>
- Annual Review of Environment and Resources (reviews of core topics in sustainability science)
  - http://arjournals.annualreviews.org/loi/energy
- Pursuing sustainability: A guide to the science and practice (new book by Pam Matson, Bill Clark, Krister Andersson; Princeton Univ. Press 2016)
  - http://pursuingsustainability.org